Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 2000 0541

Total Pages:

First Named Inventor or Application Identifier

Edwin Mellor SOUTHERN et al.

Express Mail Label No.:

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents **Box Patent Application** 

Washington, D.C. 20231

Microfiche Computer Program (Appendix)

(Submit an original, and a duplicate for fee processing) 7. [Total Pages -29]

2. [X] Specification (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**

Fee Transmittal Form

- Claim(s)
- Abstract of the Disclosure

[X] Drawing(s) (35 USC 113)

[Total sheets -15]

[X] Oath or Declaration

[Total Pages - 2]

- a.1. [] Newly executed (original or copy)
- a.2. [] Unexecuted

b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)

[Note Box 5 below]

i. [] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

[X] Incorporation By Reference

(usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[]Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. [] Computer Readable Copy
- Paper Copy (identical to computer copy)
- Statement verifying identity of above copies c. []

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)
- English Translation Document (if applicable) 10. []
- 11. [X] Information Disclosure Statement (IDS)/PTO-1449 Il Copies of IDS Citations
- 12. [X] Preliminary Amendment with Abstract
- [X] Return Receipt Postcard (MPEP 503) 13. (Should be specifically itemized)
- 14. [X] Small Entity Statement(s)
  - [X] Statement filed in prior application, Status still proper and desired
- Certified Copy of Priority Document(s)
- (if foreign priority is claimed)
- Claim of Priority, Cover Letter with 15 sheets of formal 16. [X] Other drawings showing Figs. 1-15.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[] Continuation

[X] Divisional

[] Continuation-in-part (CIP) of prior Application No. 08/676,140

## 18. CORRESPONDENCE ADDRESS

WENDEROTH, LIND & PONACK, L.L.P.

2033 "K" Street, N.W.

Suite 800

Washington, D.C. 20006 Phone: (202) 721-8200

Fax: (202) 721-8250

April 26, 2000

1 Applicant or Patentee: S. JERN, E M; MIR, K A; CASE-GREEN, S C  2 Serial or Patent No.: 08/676,140  3 Filed or Issued: July 16, 1996  4 For: METHODS FOR DISCOVERING LIGANDS	
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN	gen er hannsted anderstadenskande best
I hereby declare that I am	, a part of the second
<ul> <li>the owner of the small business concern identified below:</li> <li>[χ] an official of the small business concern empowered to act on behalf of the concern identified below:</li> </ul>	
NAME OF CONCERN ISIS INNOVATION LIMITED ADDRESS OF CONCERN 2 South Parks Road, Oxford, 0X1 3UB, United Kingdom.	
I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.	
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled  METHODS FOR DISCOVERING LIGANDS  1 SOUTHERN, EDWIN MELLOR; MIR, KALIM ULLAH and CASE-GREEN, STEPHEN CHARLES  described in	
[] the specification filed herewith  2.3 [X] application serial no. 08/676.140 , filed July 16. 1996  2.3 [] patent no, issued	,
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)	
8 NAME 9 ADDRESS 10 [ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION	-
11 NAME 12 ADDRESS 13 [ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION	
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.	
NAME OF PERSON SIGNING JUNE CLARK TITLE OF PERSON OTHER THAN OWNER Acting Managing Director ADDRESS OF PERSON SIGNING 2 South Parks Road, Oxford, OX1 3HR, United Kingdom	٠
SIGNATURE DATE 17/9/96	

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Edwin Mellor SOUTHERN et al.

Serial No. NEW : Attn: Application Branch

Filed April 26, 2000 : Attorney Docket No. 2000-0541

METHODS OF DETERMINING POLYNUCLEOTIDE INTRAMOLECULAR STRUCTURE (AS AMENDED) (Rule 1.53(b) Divisional of Serial No. 08/676,140, Filed October 2, 1996)

# PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Kindly amend the application as follows:

## IN THE SPECIFICATION

Page 1, line 5, delete in its entirety and replace with --BACKGROUND OF THE

INVENTION --;

line 6, insert -- 1. Field of the Invention --;

line 10, change ": for" to -- . For --;

line 22, change "interaction" to -- interactions --;

between lines 23-24, insert the following heading -- 2. Description of

Related Art --.

Page 2, line 8, change ":" to --; --;

line 15, change "two" (first occurrence) to -- to --;

line 19, delete in its entirety and replace with -- SUMMARY OF THE

INVENTION --.

Page 3, before line 1, insert the following:

## - BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 is an illustration of an array of all tetranucleotides.

Figs. 2(a) and (b) depict scanning arrays of oligonucleotide precursors applied in a circular patch.

Fig. 3 shows hybridisation of  $tRNA^{phe}$  to an array of type  $N_3X_2N_3$ .

Fig. 4 shows the effect of including non-radioactive oligonucleotides with the tRNA target in a hybridisation solution.

Fig. 5 shows the sites of hybridisation of cooperative antisense oligonucleotides to the structure of rabbit  $\beta$ -globin mRNA.

Fig. 6 shows four arrays of complements to a region of human CFTR gene.

Fig. 7(a) shows a folded structure of the Rev response element (RRE) of HIV RNA derived from computer molecular modelling. Figs. 7(b) and (c) show the hybridisation of labelled RRE HIV RNA to two universal arrays.

Figs. 8 (a), (b) and (c) show scanning arrays of the region of RRE analyzed in Fig. 7.

Figs. 9(a) and (b) show the analysis described in Fig. 8 conducted to presence of neomycin.

Figs. 10(a) and (b) show the effect of magnesium ions on the folding behavior of RNAs.

Figs. 11(a), (b) and (c) show the analysis of the TAR element of HIV using the method of this invention.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT(S) --.

Page 4, line 3, change "targetted" to -- targeted --.

Page 20, line 23, delete in its entirety and replace with -- Figures 7(a)-7(c). --.

Page 22, line 1, delete in its entirety and replace with -- Figures 8(a)-8(c). --;

line 11, delete "[";

line 14, delete "]";

line 16, delete in its entirety and replace with -- Figures 9(a)-9(b). --;

line 25, delete in its entirety and replace with -- Figures 10(a)-10(b). --;

line 35, delete in its entirety and replace with -- Figures 11(a)-11(c). --.

## IN THE CLAIMS

Cancel without prejudice claims 1-13 and substitute therefor the following new claims:

- -- 14. A method of studying a tertiary structure of a target which is a polymeric molecule having a known sequence, which method comprises providing a plurality of different ligands in the form of an array on a solid surface, said different ligands being complementary to different segments of the target; applying the target in solution to the array of ligands under conditions which preserve the tertiary structure of the target; and observing quantitative differences between interactions of the target with the different ligands of the array; provided that, when the ligands are not oligonucleotides or oligonucleotide analogues, then the target is a nucleic acid.
- 15. A method of determining combinations of ligands specific for a target, which method comprises the steps of:
  - a) binding at least one ligand to the target, to form a target complex,
- b) applying the target complex to other ligands which form an array on a solid surface, under conditions which allow interaction between the other ligands and the target complex, and
  - c) identifying at least one other ligand which interacts with the target complex.
- 16. The method as claimed in claim 15, comprising the additional step of binding the at least one other ligand to the target complex and then repeating steps b) and c).
- 17. The method as claimed in claim 15, wherein one ligand is an oligonucleotide or oligonucleotide analogue and another ligand is a peptide.
- 18. The method as claimed in claim 15, wherein two ligands are joined together by means of a linker.

- 19. The method as claimed in claim 15, wherein the at least one ligand to be bound to the target to form a target complex in step a), is chosen by mixing the target with a library of ligands and choosing from the library at least one ligand that binds to the target.
- 20. The method as claimed in claim 14, wherein the ligands are oligonucleotides or oligonucleotide analogues.
- 21. The method as claimed in claim 15, wherein the ligands are oligonucleotides or oligonucleotide analogues.
- 22. The method as claimed in claim 20, wherein the ligands are oligonucleotide analogues modified by the addition or substitution of other chemical moieties selected from oligoaliphatic ethers, intercalating agents, positively charged residues, chelating agents and lipophilic agents.
- 23. The method as claimed in claim 21, wherein the ligands are oligonucleotide analogues modified by the addition or substitution of other chemical moieties selected from oligoaliphatic ethers, intercalating agents, positively charged residues, chelating agents and lipophilic agents.
- 24. The method as claimed in claim 20, wherein the ligands form the basis of a ribozyme.
- 25. The method as claimed in claim 21, wherein the ligands form the basis of a ribozyme.
- 26. The method as claimed in claim 14, wherein the target and one or more ligands are different chemical types.

- 27. The method as claimed in claim 15, wherein the target and one or more ligands are different chemical types.
- 28. The method as claimed in claim 14, wherein at least one ligand becomes covalently bound to the target.
- 29. The method as claimed in claim 15, wherein at least one ligand becomes covalently bound to the target.
- 30. The method as claimed in claim 15, wherein the target is a molecule having a secondary or tertiary structure, and is caused to interact with the array of ligands under conditions such that the secondary or tertiary structure is retained. --

## IN THE SEQUENCE LISTING

Please transfer the paper and computer readable copies of the Sequence Listing from the parent application Serial No. 08/676,140 filed October 2, 1996 to the present application. The Sequence Listing of the present application is identical to the parent application, and the paper and computer readable copies of the Sequence Listing in the parent application are identical to each other. No new matter was added to the Sequence Listing in the parent application, and thus, no new matter is added to the Sequence Listing of the present application.

#### IN THE ABSTRACT

Kindly insert the attached separate sheet of abstract at the end of the application in its appropriate location.

## **REMARKS**

The foregoing amendments cancel original claims 1-13 and add new claims 14-30. New claims 14-30 represent the subject matter to be prosecuted in this divisional application. In addition, the specification has been amended along the lines of the parent application.

Favorable action on the merits is solicited.

Respectfully submitted,

Edwin Mellor SOUTHERN et al.

By Warren M. Cheek Jr.

Registration No. 33,367 Attorney for Applicants

WMC/dlk Washington, D.C. 20006 Telephone (202) 721-8200 April 26, 2000

15

20

25

- 1 -

#### METHODS FOR DISCOVERING LIGANDS

# 5 INTRODUCTION

Interactions between molecules form the basis of most biological processes; understanding these interactions is important for the development of applications in basic research and medicine: for example, many drugs act by binding to specific receptor molecules. The task of finding ligands that bind to a given target with high specificity and affinity is often difficult and though the introduction of combinatorial chemistries will make this task easier, it is likely that single ligands for a single biological target may not be effective enough for some purposes; for example, where the aim is to block completely a specific process.

The present invention describes novel ways of discovering combinations of ligands which act together to produce more specific and stronger interaction than can be achieved by a single ligand.

There are two distinct ways in which ligands could act cooperatively:

- Many biologically important macromolecules or macromolecular assemblages, such as proteins and RNA, are held in their active conformation by intramolecular interactions based on weak forces.
- Binding one ligand to the molecule partially opens its structure, and, as we will show, may expose it to other ligands which cannot bind in the absence of the first ligand. These additional ligands will reinforce the attenuation of the target molecule.
- Many biological processes occur as a result of a series of reactions, each one dependent on

10

15

- 2 -

a different macromolecule; for example, most of the pathways that produce metabolites involve a series of steps catalysed by a number of enzymes. Each enzyme could be targeted by a different ligand to produce a greater effect on the flux through the pathway than would be produced by any one. A further benefit of using combinations of agents is that it would prevent the development of resistance to the therapy: it is a major problem in the use of antibiotics and anticancer agents that, after a time, resistance develops as a result of mutation. If the agents comprised a mixture that targeted different molecules in the cell, multiple mutations would be required to produce resistance. Clearly, the chance of a cell undergoing two random mutations that coincide two produce resistance to two agents is much less likely than the single mutation required to overcome the effects of a single agent.

#### THE INVENTION

20

25

The invention provides a method of designing a reagent, which method comprises providing a target, applying the target to ligands which form an array on a solid surface, observing interaction between the ligands and the target, and using the observation to design a reagent to interact with the target.

The invention also provides a method of determining combinations of ligands specific for a target, which method comprises the steps of:

- a) binding at least one ligand to the target, toform a target complex,
  - b) applying the target complex to other ligands which form an array on a solid surface, under conditions which allow interaction between the other ligands and the target complex, and
- 35 c) identifying at least one other ligand which interacts with the target complex.

10

15

20

25

- 3 -

A target is a polymeric molecule having an intramolecular structure such as a nucleic acid (DNA or RNA) or a protein or carbohydrate, or a macromolecular assembly such as a membrane. Targets which are compound molecules such as glycosylated nucleic acids are envisaged. A ligand is a molecule capable of interaction with a target which interaction can form the basis of therapeutic intervention or a biological test. The nature of the interaction is not material to the invention, and may for example be hybridisation or an immune reaction or any other specific binding reaction including covalent binding. Examples of ligands are oligonucleotides, peptides, steroids and glycosides.

The invention is particularly concerned with targets that have secondary or tertiary structure. So far as nucleic acids are concerned, RNA targets often have more structure than DNA targets, and are accordingly preferred. Interaction between a target and ligands on a solid surface is preferably effected under conditions such that the secondary or tertiary structure of the target is retained. For hybridisations between polynucleotide sequences for example, such conditions are well known and are different from the more stringent conditions generally used for standard DNA hybridisation reactions.

#### Antisense oligonucleotides

Antisense oligonucleotides and RNAs have

potential as therapeutic agents, and offer one of very
few methods for rational design of therapies for a
range of infectious agents including viruses, bacteria
and parasites, and for the treatment of cancer. For
basic biological studies, they offer a way of finding a

link between a gene and its functions. The need for
this becomes more important as genome analysis produces

10

15

20

25

30

35

target sequence.

- 4 -

increasing amounts of sequence data from uncharacterised genes. In some systems, most notably the yeasts, genes can be targetted by homologous recombination of cloned sequences, but other systems, particularly higher eukaryotes, are less amenable and antisense methods could provide simpler and more general means of attenuating or removing gene activity.

The main attraction of antisense agents is their potential to target any gene of known sequence; molecules can be designed to interact specifically with DNA and/or RNA molecules and interfere with expression by blocking replication, transcription or translation or by causing degradation by nucleases, or in the case of ribozymes, by directly degrading the target RNA. The feasibility of using antisense oligonucleotides as therapeutic agents has been demonstrated in the case of duck hepatitis virus where phosphorothioate analogues were shown to cause complete inhibition of infection in cultured cells and in animals [Offensperger et al., 1993]. Other experimental tests have met with mixed success [see James; 1991, for reent review], and it is clear that if the full potential of the method is to be realised, a number of problems must be solved. Stability of the oligonucleotides to degradation can be overcome by using analogues, but little is known about the mechanisms by which oligonucleotides enter cells. Several studies have shown that some positions in a target sequence are much more susceptible than others and that the major factor influencing the inhibitory effect of an oligonucleotide is the strength of the interaction with the target. Our own work and that of others has shown that the main factor influencing the strength of interaction between RNA molecules and

There is no reliable way of predicting the

oligonucleotides is intramolecular structure in the

10

15

20

25

- 5 -

interaction between an oligonucleotide and its target, and so the design of antisense reagents is largely based on informed guesswork. The methods described below provide a rational strategy for choosing antisense reagents based on novel experimental systems which can be used to measure the strength of interaction between a target sequence and all potential antisense reagents. The methods can be used to find those oligonucleotides or analogues which bind most effectively to a target sequence. The methods can be extended to find combinations of oligonucleotides which act cooperatively to open up the structure of the target, enhancing each other's binding. Antisense reagents to different RNAs, providing cooperative attenuation of the activity in a biological process involving more than one gene product, can readily be found by analysing the RNAs in the way described. The same methods can be used to find antisense targets in cells where nothing is known about specific gene expression. These targets may be single RNAs or mixtures.

The methods to be described provide an entirely new approach to antisense design. It can readily be seen that the methods described for systems based on nucleic acids could be adapted to any other system for which suitable chemical procedures already exist, or could be developed.

#### Arrays of ligands

To test interactions between a target
molecule and large number of ligands, it is convenient
to make the ligands on the surface of a solid
substrate, where they can all be reacted and analysed
simultaneously. Large arrays of synthetic
oligonucleotides can be made on the surface of a glass
plate or plastic sheet [Maskos and Southern, 1992a,

- 6 -

1992b; Southern, Maskos and Elder, 1992; Matson, Rampal and Coassim, 1994]. Hybridisation of labelled target sequence measures the strength of interaction with each oligonucleotide in the array. For the applications

Oligonucleotides are created as lines on the

described here we use three main types of array:

[Maskos and Southern, 1992a, 1993a]

- surface of the substrate; target interactions with the oligonucleotides are analysed by applying a solution of the target in lines orthogonal to the oligonucleotides under conditions which will permit hybridisation to take place. In this way multiple oligonucleotides can be analysed in parallel against several targets, or the same target under different hybridisation conditions
- 2. Complete sets of oligonucleotides of a chosen short length are made by efficient combinatorial methods; for example, we are able to make all 4096 hexanucleotides on an array 6.5cm x 6.5cm in a six step synthesis (Fig. 1) [Southern, Maskos and Elder, 1992].
- Such arrays can be used to explore interactions of oligonucleotides with the target even when no prior sequence information is available. These arrays are used in two strategies described below: one describes the identification of oligonucleotides which bind to a
- known nucleic acid sequence with the object of finding those regions which are available for binding, and thus not bound up by intramolecular structure; the second strategy describes the identification of potential antisense targets in a population of mRNAs in a
- differentiated cell when no prior sequence information is available.
  - 3. "Scanning arrays" are made by stepwise synthesis in a reaction chamber which is progressively displaced along the surface of the substrate,
- introducing the precursor to the bases in the target

10

15

20

25

30

35

- 7 -

sequence at each step. A single procedure, equivalent to synthesising one long oligonucleotide, creates a set of oligonucleotides which represent the entire sequence of the target molecule; each oligonucleotide in the set represents a "window" on the sequence. The width of this window can be predefined - typically we use a window of 10-15 bases and it is an important feature of the method for making scanning arrays that oligonucleotides of all lengths from a single base to this chosen length are made in the same process (Fig. 2).

#### Effects of intramolecular structure on ligand binding

Many biological macromolecules, including proteins, RNA and DNA, are folded into relatively stable structures which are important for biological activity. The structure is dependent on the primary sequence of the macromolecule, and also on weak intramolecular interactions based on hydrogen bonding, hydrophobic interactions etc. The macromolecule may also be bound up with other molecules, as for example would be a mRNA with proteins in a cell. A consequence of molecular folding is that some residues in the molecule are more accessible to interaction with ligands than others; this is of great importance in the design of drugs, which must bind to their target molecule.

We illustrate the problem of finding ligands that can bind to a folded macromolecule with reference to  $tRNA^{\rm phe}$ , a molecule with well characterised structure. First, labelled RNA was hybridised to a general array of the type  $N_3X_2N_3$ , under non-stringent conditions which would retain the folded structure of the RNA molecule. The pattern revealed that only a few regions of the 76 base sequence were open to hybridisation (Fig. 3 and the legend which describes the construction of the array).

10

15

- 8 -

A scanning array was then made in which the oligonucleotides comprised twelve sets, each of 76 oligonucleotides, ranging in length from mononucleotides to 12mers, representing the complement of the sequence of yeast tRNAPhe. Such an array can be made in one 76 step synthesis (Fig. 2a). Hybridisation of labelled tRNA to this array gives a pattern which shows how the oligonucleotides interact with all regions of the native molecule (Fig. 2a). example, the target presented to the general array of the type N<sub>2</sub>X<sub>2</sub>N<sub>3</sub> (where N is any one of the four bases and X is a mixture of all four bases) was so short (76 bases) that it was possible to make a scanning array of oligonucleotides representing the entire sequence. With a longer target, the general array would be used to identify regions of interest which would then be explored more thoroughly by means of one or more scanning arrays.

This experiment illustrates how secondary and 20 tertiary structure play the dominant part in determining interactions. However, it is important to note that it is not possible to predict the strength of interaction, even in this case where the structure is known in great detail from X-ray diffraction studies. 25 Some interactions of complementary oligonucleotides with the tRNA molecule agree with expectation: the strongest interactions are with the D-loop, the variable loop, and the 3'-end, all of which have unpaired bases. On the other hand, the 5'-end of the 30 molecule and the anticodon loop, which we expected to be available for pairing, show only weak interactions. Similar studies, with parts of HIV RNA (Fig.2b) and with transcripts from exons of the CFTR gene showed that some interactions occur in regions where computer 35 predictions indicate the presence of unpaired bases, but others do not, and most importantly for the design

10

15

20

25

35

- 9 -

of antisense reagents, some apparently open regions do not interact with complementary oligonucleotides.

The strength of interaction, measured from the intensity of hybridisation, is very variable, with more than 10000-fold ratio of the strongest to the weakest. It is a major benefit of the technique that the strength of interaction can be seen immediately from examination of the hybridisation pattern. It is also possible to distinguish effects due to structure in the target from those which are due to structure in the oligonucleotides; this is important information for making choices of oligonucleotides for antisense applications. A striking feature of all analyses we have done with natural RNAs is that only a very small number of sites interact strongly with complementary oligonucleotides, and we often find that the strength of interaction changes abruptly as a result of adding or subtracting a single base (e.g. the HIV-TAR analysis shown in Fig.2b). These patterns of hybridisation, which are crucially important for the design of antisense reagents, could only be predicted from theory if a great deal were known about the structure of the RNA molecule, and if the algorithms used to calculate the relative strength of interaction of different oligonucleotides were greatly improved over those available at present. There is no other experimental system that could give the information provided by the arrays.

30 Identification of ligands which act cooperatively on a folded target

Studies of a number of mRNAs using the method described above show that most bases are unavailable for pairing with oligonucleotides. However, when a first oligonucleotide does bind it is likely to break internal structures, releasing other bases from

intramolecular pairing, and making them available for pairing with antisense oligonucleotides which would not bind in the absence of the "pioneer". This prediction was borne out in experiments with tRNA.

Oligonucleotides which bound strongly to the D-loop and

- the variable loop of the native RNA were identified from their position on the scanning array. When an excess of oligonucleotides of these sequences (solution ligands) were added to the tRNA probe, hybridisation to the scanning array showed dramatic increase in binding to regions which bound weakly or not at all in the absence of added oligonucleotide (Fig. 4). The process
- can be repeated oligonucleotides that bind in the presence of the pioneer are included with it in the hybridisation yet other regions are opened up and seen on the scanning array. Continuation of this procedure can bring most or all of the target into
- oligonucleotides identified by this rational stepwise
  analysis will produce a stronger "antisense" activity
  than can be achieved by any single oligonucleotide. A
  further benefit of this as an approach to antisense
  design is that multiple short oligonucleotides are

duplex with oligonucleotides. Clearly the combined

- likely to be more specific to the target, to be taken
  up more effectively by cells, to be effective at lower
  concentrations [Morgan et al., 1993], and may be less
  prone to degradation than longer ones. In several of
  our analyses we have found that interactions involving
  very short oligonucleotides tetra-, tri- and even
- dinucleotides- are stronger than any between long, fully complementary oligonucleotides; it is unlikely that these interactions are due to conventional Watson-Crick pairing and thus represent unexpected interactions which are revealed by the method proposed
- here, and which are relevant to the design of antisense reagents.

35

- 11 -

Antisense reagents are not normally composed of oligonucleotides made from natural nucleotides, but from analogues. The important features are that:

- 1. They should bind specifically to a target sequence.
  - 2. They should be able to enter the target cell.
  - 3. They should be resistant to degradation in the cell.
- 4. They should induce either breakdown of thetarget nucleic acid or block its function.

These criteria are matched better by some modified oligonucleotides and/or analogues than by natural oligonucleotides. The chemistry that is used to synthesise analogues is readily adaptable to making

- arrays, and so the strategy described here can be adapted to any analogue. Furthermore, there are numerous potentially desirable modifications, for example the addition of moieties which would favour penetration of the cell or enhance binding to the
- target molecule whose effects on binding are not readily predictable. The array methods would allow these measurements to be carried out in a simple and straightforward way, provided that a synthetic route could be found to make the necessary structure on the
- array; this is the case for most of the useful modifications known at the present time.

#### Other ligand/target interactions

- Clearly, the principles illustrated by reference to antisense oligonucleotides could be used to discover combinations of ligands of other types.

  The essentials are:
  - It must be possible to make an array of one of the potential ligand types in which the identities of the ligands occupying different cells of the array are known.

10

15

20

25

30

35

- 12 -

- It must be possible to detect the interaction of the target molecule with the ligands in the array.

It should be noted, however, that the target, the solution ligand and the ligands on the array need not necessarily be of the same molecular type. For example: arrays of oligonucleotides could be used in conjunction with intercalating agents in solution, and vice versa to study effects of different intercalating agents on oligonucleotide binding; different types of drugs could be used in solution and on the array to study interactions with a target protein.

#### Ligand libraries

The power of the approach would be extended if the solution ligands comprised a mixture of molecules with different structures.

Again taking the example of oligonucleotides, we have found that different oligonucleotides open up different regions of tRNA<sup>phe</sup>, and that each interaction permits its own set of interactions with other oligonucleotides. This analysis was done with each solution oligonucleotide separately. If it were possible to identify the bound solution oligonucleotide after the complex with the target were bound to the array, the solution ligands could comprise a mixture of all oligonucleotide sequences in a given size range. Ways to identify individual oligonucleotides or other analytes after they have been coupled to an array in this way are described in an earlier patent application GB 93 15 847.5 filed 30 July 1993.

The advantage of this double combinatorial approach could be very large; the number of interactions studied in a single analysis is equal to the product of the number of ligands on the array and in solution. For octanucleotides on the array and in

- 13 -

solution, this would be  $4^{16} = 4 \times 10^9$ .

#### Linked ligands

Pairs or higher multiples of ligands act to 5 increase binding to a folded molecule by inhibiting the competing reaction - reformation of the internal structure of the target molecule. They will also increase the rate of binding by opening the structure of the target. Both of these processes could be 10 enhanced if the ligands were joined so that trimolecular or higher order interactions were reduced to bimolecular interactions. It is easy to imagine that a pair of oligonucleotides, including short ones such as di-, tri- and tetra-nucleotides, or other 15 ligands, could be joined by a flexible linker that would allow them both to bind. The effect of linking the interacting moieties together could be further extended by enhancing the binding of one or more of the ligands to the target, for example, by covalent cross-20 linking, by chelation, by intercalation, or by charge or van der Waals interaction with the target molecule. This would allow other ligands more time to bind to the target. Linkers with other desirable qualities, such as greater cell permeability, could further improve the 25 ligands' properties. A ligand could form the basis of a ribozyme, e.g. by having at least one portion for interacting with a target and another portion with

# 30 EXAMPLES

#### Apparatus for making arrays

catalytic activity.

The device used to form the cell must make a good seal against the substrate on which synthesis takes place. We use glass for the substrate. Teflon is the only material we have found which makes a good

10

15

20

seal to glass and withstands the solvents and chemicals used in oligonucleotide synthesis. The surface of the cell must be finished before machining the walls that create the reaction chamber, and we have been able to make a diamond shaped template that creates a good seal when pressed against a glass surface (Southern et al 1994). Alternatively, a circular template can be cut in a lathe in such a way that the surface tooling runs in the same lines as the cuts used when it is pressed against the glass surface (Fig.2a). The depth of the cell is ca.0.5mm. Inlet and outlet ports were made by drilling 1.0mm diameter holes at the top and bottom of the circle and fitting sawn-off 19 gauge (1.1mm o.d.) syringe needles through from the back. The Teflon cell was mounted on a rail fitted with a lead screw that was used to displace the glass plate relative to the Teflon reaction cell. A "G" clamp, fitted with a dished polyethylene cushion, was fixed to the rail to apply pressure to the glass plate and form the seal to the reaction cell. The rail was mounted on the front of the frame of an ABI 381A oligonucleotide synthesiser so that the delivery lines normally connected to the column could be connected to the reaction cell.

25

30

35

#### Making arrays

Glass plates (50 x 220 x 3mm) were first coated with a covalently attached linker [Maskos and Southern, 1993]. Plates were immersed in a mixture of glycidoxypropyl trimethoxysilane/diisopropyethylamine/xylene (17.8:1:69, by volume), heated to 80°C and held at this temperature for 9h, and then washed in ethanol and ether. In a second step, the plates were heated in neat hexaethylene glycol, containing a catalytic amount of sulphuric acid, at 80°C for 10h, washed with ethanol and ether, air dried and stored at -20°C.

- 15 -

Oligonucleotide synthesis used standard reagents for phosphoramidite chemistry, omitting the capping step. The ABI 381A was programmed to couple bases in the order corresponding to the complement of the target sequence, with an interrupt after deprotection. The scale was for 0.2  $\mu$ mol. synthesis, adjusted slightly to provide volumes that would just fill the reaction chamber.

out in a specially constructed bomb, comprising a chamber (230 x 230 x 20mm) cut into a Nylon block (300 x 300 x 30mm), sealed by a sheet of silicone rubber (3mm thick), compressed against the rim of the chamber by clamping the whole assembly between two mild steel plates (6mm thick) using four bolts along each side of the square. After 5-8h at 55°C the bomb was cooled to 4°C before opening. The plate was then washed in ethanol followed by Tris/EDTA (0.01M, pH 7.8, 0.1% SDS) and ethanol and then dried in an air stream.

20

25

30

35

#### Hybridisation reactions

We have used a variety of target molecules in experiments with scanning arrays: synthetic oligonucleotides labelled using polynucleotide kinase with gamma- $^{32}P$ , gamma- $^{33}P$  or gamma- $^{35}S$ -ATP to tag the 5' end; RNAs labelled at the 3' end using RNA ligase with 5'- $^{32}P$  cytosine-3',5'-diphosphate; or transcripts of DNA fragments made from PCR amplified fragments using T7 or SP6 polymerase to incorporate  $\alpha$ - $^{32}P$  or  $\alpha$ - $^{35}S$  UTP. All of these make good hybridisation targets. Most hybridisation reactions were carried out at 4-25°C, in solutions containing 3-4.5M TMACl or 1.0 M NaCl. After hybridisation, the plate was rinsed in the hybridisation solvent and exposed through Clingfilm to a storage phosphor screen (Fuji STIII) which was then scanned in a Molecular Dynamics 400A

30

35

- 16 -

PhosphorImager.

In the specific examples shown in Figures 2 and 4, experimental conditions were as follows:

tRNA<sup>phe</sup> (10 pmol, Sigma) was dissolved in

HEPES buffer (50mM, pH7.4; 20mM MgCl<sub>2</sub>, 3.3mM DTT,

1μM ATP, 10 μg/ml BSA, 10% DMSO) with cytosine-3',5'
diphosphate (3000Ci/mmol, Amersham), and T4 RNA ligase

(9 units, Pharmacia), after 30min incubation at 37°,

the reaction was separated in a spun column and the

labelled RNA dissolved in 3.5M TMA (10ml).

Hybridisation was carried out by applying ca. 1ml of the solution to the surface of the array and overlaying a second glass plate of the same dimensions. The "sandwich" was placed in a sealed box at 4°C for 18-

15 24h. The plates were separated, the array rinsed in the hybridisation solvent at 4°C, and analysed as described above.

For the cooperative experiments shown in Figure 4, cold oligonucleotides corresponding to the D-loop GCTCTCCCAACT, the variable loop GACCTCCAGATT, or the TpsiC loop AACACAGGACCT, were incubated with the tRNA in the hybridisation conditions for at least 18h before being applied to the plate.

# 25 FIGURES

#### Figure 1.

An illustrative array comprising all tetranucleotide sequences. This is one sixteenth the size of the smallest usable array comprising all hexanucleotides, which would be too complex to display in this format. The letters along the top and down the left hand side show the order in which base precursors were applied in columns and rows during the synthesis of the oligonucleotides. The letters in each cell show the sequence of the oligonucleotide synthesised in that cell. Larger arrays are made by simply ovelaying

10

20

25

- 17 -

increasingly wide rows and columns such that each successive row or column embraces four from the previous layer. In all cases, the array comprises all  $4^{\rm S}$  possible oligonucleotide sequences of length s. The results of hybridisation to such an array are shown in Fig. 3. More complex arrays can be made by applying mixtures of all four bases at certain stages in the synthesis; for example, all octanucleotides can be made in 4096 cells by applying four mixed bases in the two central positions, to produce an array of the type  $N_3 X_2 N_3$ . In this case, each cell comprises a mixture of 16 different octanucleotides. The results of hybridisation to such an array are shown in Fig. 3.

# 15 Figure 2a.

Scanning arrays are made by applying oligonucleotide precursors to the glass substrate in a circular patch. The first base, corresponding to the complement of the 5' end base of the target sequence, is applied to the left end of the plate, which is then moved by a predetermined off-set, and the second base added. The process is repeated for the whole of the sequence. The length of oligonucleotides made on the centre line is equal to the diameter of the cell divided by the offset, in this case, diam. = 30mm and offset = 2.5mm, giving a maximum overlap of 30/2.5 = 12. Oligonucleotides ranging in size down to a single base are made in the segments flanking the centre line.

The upper panel shows a scanning array representing the 76 bases of tRNA<sup>phe</sup>, hybridised to end labelled tRNA. Note the strong hybridisation in the region of the D-loop, more moderate hybridisation to the variable loop, and very weak hybridisation to the anticodon loop and the 5'-end of the molecule.

- 18 -

### Figure 2b.

The lower panel shows a scanning array representing 76 bases of HIV TAR element hybridised to an <u>in vitro</u> labelled transcript of the same region.

Note the strong hybridisation to a single 12-mer, which is tenfold stronger than hybridisation elsewhere. This 12-mer corresponds to the region of the loop which has been shown by others [Ecker <u>et al.</u>, 1992] to form a pseudo-half-knot with an adjacent 12-mer.

10

5

## Figure 3.

Hybridisation of a tRNA $^{\mathrm{phe}}$  to an array of the type  $\mathrm{N_3XXN_3}$ , where N is any one of the four bases and X is a mixture of all four, so that 65536 different octanucleotides are all present on the array, in cells containing mixtures of 16 related sequences. This result shows which regions of the sequence are most open to hybridisation. These can then be examined in detail on a scanning array, as shown in Figure 2a.

20

25

30

15

## Figure 4.

Effects of including non-radioactive oligonucleotides (positions in the structure and the exact 12-mer indicated in the panel) with the tRNA target in the hybridisation solution. Note the large increase in binding to regions of the sequence that do not hybridise in the absence of the added oligonucleotides, showing that these regions have been opened up. The top panel is the control with no added oligonucleotide. The third and fourth panels show that adding a second oligonucleotide exposes regions that are not opened by the first oligonucleotide alone.

#### Figure 5.

Cooperative antisense oligonucleotides against rabbit ß-globin mRNA.

10

15

20

25

- 19 -

Much is known about the rabbit globin system. It was one of the first mRNAs to be isolated and characterised by translation in vitro. We have studied it because we wish to use it to study it as a model for antisense intervention. In preparation, we made a scanning array of oligonucleotides complementary to 122 bases around the initiation codon of the mRNA (atq marked in bold letters at bases 54-56). The folded structure shown in the figure is that with minimum free energy calculated by the energy minimisation program mfold, and displayed by squiggles. Hybridisation of labelled RNA showed interaction at the region complementary to bases 46-62 marked by a thick line on the molecule. We then made a deoxyribooligonucleotide corresponding to this 17-mer. Rehybridisation of the complex showed, as expected, no signal at the position of bases 46-62, but new signal at bases 32-45, marked with a dashed line. This is a surprising result, as there is no indication from the computer prediction that bases 46-62 interact with bases 32-45. On the contrary, there is a single stranded region of nine bases between them, which should be enough to decouple any interaction. Thus, the experiment suggests an interaction relevant to the design of antisense reagents which could not have been predicted by energy calculations or by examination of the computer generated structure.

#### Figure 6.

- Four arrays were made of complements to the same sequence, a region of the human CFTR gene (mutations in this gene are responsible for cystic fibrosis). The arrays were made as described in Southern et al (1994) on a glass substrate derivatised with a hexaethylene glycol linker.
  - a) natural deoxyribonucleotides tethered to the

15

20

- 20 -

glass through the 3' ends.

- b) natural deoxyribonucleotides tethered to the glass through the 5' ends.
- c) deoxyribophosphothioates (Note that this array was exposed in the opposite orientation to the other three).
  - d) ribonucleotides.

The arrays were all hybridised under identical conditions (3.5 M tetramethylammonium chloride, 4°C) with the sequence

CCTGGCACCATTAAAGAAAATATCATCTTTGGTGTTTCCTAT, part of exon 10 of the CFTR gene covered by the array.

The deoxyribonucleotides give essentially the same result in both chemical orientations, but the analogues, the deoxyribophosphothicates and ribonucleotides, give quite different results. Although a difference may be expected, this experiments shows the difficulty in extrapolating data from one analogue to others, and further demonstrates the power of the array technique in identifying candidates for antisense reagents, including analogues.

#### Figure 7.

The Rev response element (RRE) of HIV is considered to be a good potential target for 25 therapeutic intervention by antisense oligonucleotides because of its central place in the regulation of gene expression from the viral genome. However, molecular modelling in the computer, as shown (Fig. 7a), suggests that this region of HIV RNA is likely to have a complex 30 folded structure, and this has been confirmed by analysing the susceptibility of the RNA to nucleases. This structure makes it difficult to select regions for antisense targetting. We have analysed the hybridisation behaviour in a two stage process. First, 35 the labelled RNA was hybridised to "universal" arrays,

10

15

20

25

30

35

that is arrays comprising all oligonucleotides of a chosen length. In this experiment, we used two types of universal arrays, one comprising all 4096 hexanucleotides (Fig. 7b), the other all 65,536 octanucleotides (Fig. 7c). In the latter array there were only 4096 cells, each containing a mixture of sixteen different octanucleotides of the general form NNNXXNNN, where N is a defined base, and X is a mixture of all four. These universal arrays do not indicate the optimum oligonucleotide to use, as they only have sequences of limited length, but they do reveal the regions in the target sequence which are most available for hybrid formation. These areas were then analysed in more detail using scanning arrays as described The method used to make the universal arrays produces oligonucleotides half the length of the major oligonucleotides in lines which intersperse them. A surprising result of the analysis is that some of these tri- and tetranucleotides interact strongly with the target (see the lines of uniform intensity in Figs. 7a and b). With other targets we see the same feature, but with different oligonucleotide sequences. case (Southern et al, 1994), we have seen interaction between a target and a dinucleotide. It is likely that these interactions are the result of the folding of the target RNA, which may present short stretches of sequence in a structure which is particularly favourable for interaction with oligonucleotides; example, a stacked half helix. These observations suggest a novel approach to antisense design, based on the use of array analysis. Namely, that short oligonucleotides, which are seen to interact in this structure specific manner can be incorporated in the cocktail of antisense agents specific to the target, either as a component of a mixture, or as a component of a linked composite molecule.

- 22 -

#### Figure 8.

The region of the RRE analysed in Fig. 7 was also analysed on a scanning array made of oligodeoxyribooligonucleotides with 17mers as the longest. Only one oligonucleotide in the Rev binding region showed strong interaction at 37°C in 3.5 M TMAC1 (Fig. 8a). When this oligonucleotide was bound to the target in solution and the complex again applied to the array, several sites showed interaction with oligonucleotides which had not bound in the absence of the solution oligonucleotide (Fig. 8b). [The same region bound when the RRE target was analysed on an array of phosphothioate analogue oligonucleotides at 20°C in 1 M NaCl (Fig. 8c)].

15

20

30

10

5

#### Figure 9.

The analysis described in Fig. 8 was repeated in the presence of neomycin, a drug which is known to act by binding to RNA molecules. The presence of neomycin substantially altered the pattern of interaction of RRE with some oligonucleotides. (Figs. 9a and b). In particular, regions which bind poorly in its absence bind more strongly in its presence.

# 25 Figure 10.

Magnesium is known to have a significant effect on the folding behaviour of RNAs and we have examined its effect on the binding behaviour of tRNA using the scanning array used in the experiments described in Figs. 2a and 4. We find that several oligonucleotides which do not bind in the absence of magnesium ions (Fig. 10a) do so in its presence (Fig. 10b).

# 35 Figure 11.

The TAR element is another important target

10

15

- 23 -

for HIV. We have analysed its hybridisation behaviour with arrays of natural oligodeoxyribonucleotides, and with an array made with analogues that are commonly used as antisense agents, 2'-O-methylribonucleotides (Fig. 11c). The same region is found to hybridise in both cases, the loop of the stem-loop, but only one or two sequences in this region form duplex, and the exact position of the sequence with strongest interaction is different with each analogue. In an experiment with the natural oligodeoxyribonucleotides, we selected an antisense sequence as the "pioneer" (Fig. 2b and 11a) and used it to open the structure. Note the loss of binding in the region corresponding to the solution ligand, and the additional binding at other sites. When the complex was bound to the array, several oligonucleotides which had not bound in its absence, now gave a significant yield of duplex (Fig. 11b).

#### Conclusion

The above examples illustrate several new approaches to the design, characterisation and discovery of ligands made possible by analysis on arrays on solid supports. We have shown how this approach can:

- 25 Identify regions of the target that are open to interaction with ligands;
  - Identify combinations of ligands that act together to give a different, usually stronger, interaction than either alone;
- Discover regions of the target that are structured in such a way as to allow them to bind in unexpected ways, e.g. target RNAs to very short oligonucleotides;

The arrays and the cooperative ligands used in the examples were made of a variety of chemical types, illustrating the generality of the approach.

10

25

30

- 24 -

#### REFERENCES

Research 20: 1675-1678.

- 1. James, W. (1991). Towards gene-inhibition therapy: a review of progress and prospects in the field of antiviral antisense nucleic acids and ribozymes. Antiviral Chemistry and Chemotherapy 2(4): 191-214.
  - 2. Maskos, U. and Southern, E.M. (1992a). Parallel analysis of oligodeoxyribonucleotide interactions. I. Analysis of factors influencing oligonucleotide duplex formation. Nucleic Acids
  - 3. Maskos, U and Southern, E.M. (1992b).
    Oligonucleotide hybridisations on glass supports:
- a novel linker for oligonucleotide synthesis and hybridisation properties of oligonucleotides synthesised <u>in situ</u>. Nucleic Acids Research 20: 1679-1685.
- 4. Maskos, U. and Southern, E.M. (1993a). A novel method for the analysis of multiple sequence variants by hybridisation to oligonucleotides. Nucleic Acids Research 21: 2267-2268.
  - 5. Maskos, U. and Southern, E.M. (1993b). A novel method for the parallel analysis of multiple mutations in multiple samples. Nucleic Acids Research 21: 2269-2270.
  - 6. Morgan, R., Edge, M., and Coleman, A., (1993). A more efficient and specific strategy in the ablation of mRNA in <u>Xenopus laevis</u> using mixtures of antisense oligos. Nucleic Acids Research 21: 4615-4620.
  - 7. Offensperger, W-B., Offensperger, S., Walter, E., Teubner, K., Igloi, G., Blum, H. E. and Gerok, W. (1993). <u>In vivo</u> inhibition of duck hepatitis B virus replication and gene expression by
- phosphorothicate modified antisense oligodeoxynucleotides. EMBO J. 12: 1257-1262.

- 25 -

8.	Southern, E.M., Maskos, U. and Elder, J.K.
(1992)	. Analysis of Nucleic Acid Sequences by
Hybrid	ization to Arrays of Oligonucleotides: Evaluation
using	Experimental Models. Genomics 12: 1008-1017.

- Southern, E. M., Case-Green, S. C., Elder, J. K., Johnson, M., Mir, K. U., Wang, L. and Williams, J. C. Arrays of complementary oligonucleotides for analysing the hybridisation behaviour of nucleic acids. Nucleic Acids Research 1994, Vol. 22, No. 8, 1368-1373.
  - Matson, R. S., Rampal, J. B. and Coassin, P. J., Biopolymer synthesis on polypropylene supports I oligonucleotides, Analyt. Bioch. 217, 306-310 (1994).

20

15

25

30

35

- 26 -

#### CLAIMS

5

- 1. A method of designing a reagent, which method comprises providing a target, applying the target to ligands which form an array on a solid surface, observing interaction between the ligands and the
- target, and using the observation to design a reagent to interact with the target.
  - 2. A method of determining combinations of ligands specific for a target, which method comprises the steps of:
- binding at least one ligand to the target, to form a target complex,
  - b) applying the target complex to other ligands which form an array on a solid surface, under conditions which allow interaction between the other
- 20 ligands and the target complex, and
  - c) identifying at least one other ligand which interacts with the target complex.
  - 3. A method as claimed in claim 2, comprising the additional step of binding the at least one other
- ligand to the target complex and then repeating steps b) and c).
  - 4. A method as claimed in any one of claims 1 to 3, wherein the target is a nucleic acid and the ligands
  - are oligonucleotides or oligonucleotide analogues.
- 30 5. A method as claimed in claim 2 or claim 3, wherein one ligand is an oligonucleotide or oligonucleotide analogue and another ligand is a peptide.
  - 6. A method as claimed in any one of claims 2 to
- 35 5, wherein two ligands are joined together by means of a linker.

- 27 -

- 7. A method as claimed in any one of claims 4 to 6, wherein the ligands are oligonucleotide analogues modified by the addition or substitution of other chemical moieties selected from oligoaliphatic ethers,
- intercalating agents, positively charged residues, chelating agents and lipophilic agents.
  - 8. A method as claimed in any one of claims 1 to 7, wherein the ligands form the basis of a ribozyme.
- 9. A method as claimed in any one of claims 1 to
  10 8, wherein the target and one or more ligands are different chemical types.
  - 10. A method as claimed in any one of claims 1 to 9, wherein at least one ligand becomes covalently bound to the target.
- 11. A method as claimed in any one of claims 2 to 10, wherein the at least one ligand to be bound to the target to form a target complex in step a), is chosen by mixing the target with a library of ligands and choosing from the library at least one ligand that 20 binds to the target.
  - 12. A method as claimed in any one of claims 1 to 11, wherein the target is an RNA.
- 13. A method as claimed in any one of claims 1 to 12, wherein the target is a molecule having a secondary or tertiary structure, and is caused to interact with the array of ligands under conditions such that the secondary or tertiary structure is retained.

30

#### ABSTRACT

A method of identifying one or a combination of ligands, e.g. oligonucleotides or analogues, that interact specifically with a target, e.g. a DNA or an RNA molecule having a secondary or tertiary structure. One ligand may be pre-reacted to open up the target for interaction with other ligands forming an array on a solid surface.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Edwin Mellor SOUTHERN et al.

Attn: Application Branch Serial No. NEW

Attorney Docket No. 2000-0541 Filed April 26, 2000

METHODS OF DETERMINING POLYNUCLEOTIDE INTRAMOLECULAR STRUCTURE (AS AMENDED) (Rule 1.53(b) Divisional of Serial No. 08/676,140, Filed October 2, 1996)

### SUBMISSION OF FORMAL DRAWINGS

**Assistant Commissioner for Patents** Washington, DC 20231

Sir:

There are submitted herewith fifteen sheets of paper formal drawings in the aboveidentified application showing Figs. 1-11c.

The attached drawings have been revised to correct each item objected to by the Examiner and/or the Chief Draftsman.

Kindly substitute the attached formal drawings for those of record.

Respectfully submitted,

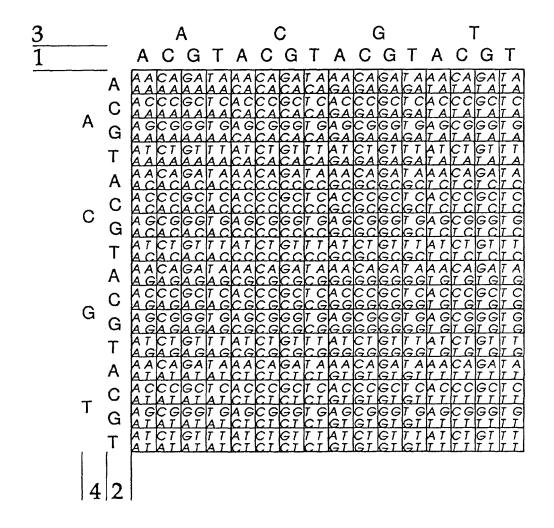
Edwin Mellor SOUTHERN et al.

By Warren M. Cheek, Jr. Registration No. 33,367

Attorney for Applicants

WMC/dlk Washington, D.C. 20006 Telephone (202) 721-8200 April 26, 2000

Fig.1.

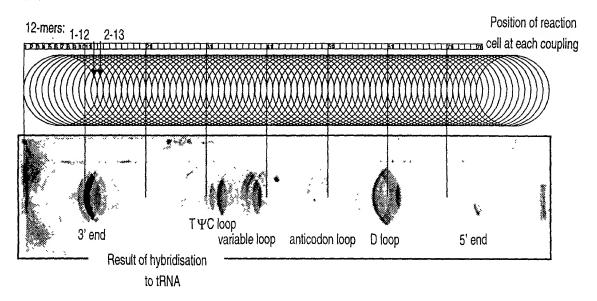


An array of all tetranucleotides

Fig.2a.

## Analysis on scanning arrays

tRNAphe

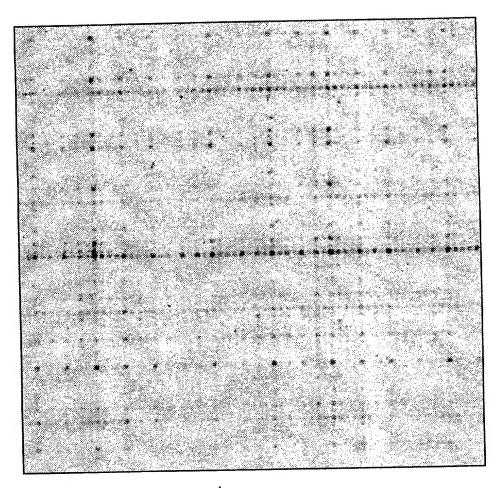


HIV TAR

Fig.2b.

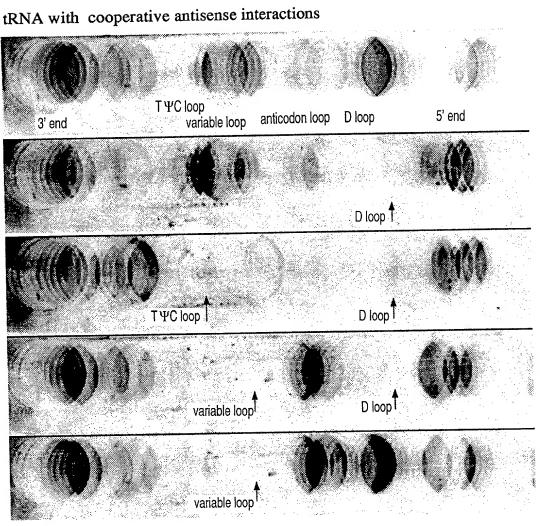


Fig.3.



Hybridisation of  $tRNA^{phe}$  to an array of the type  $N_3X_2N_3$ 

Fig.4.



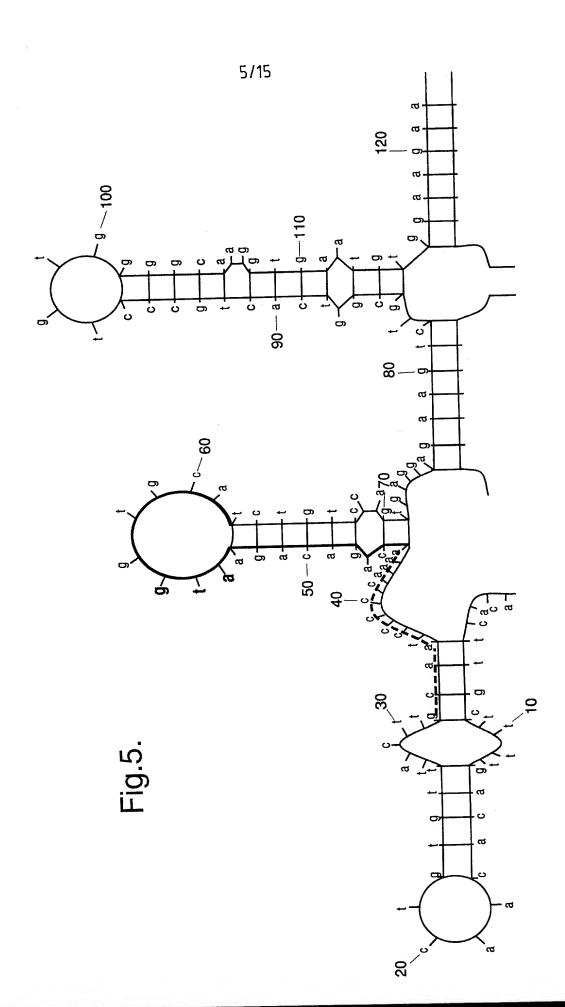
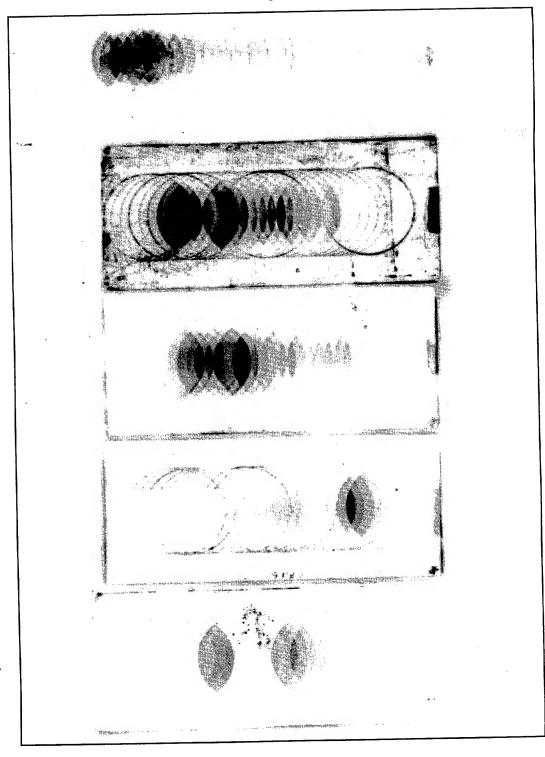
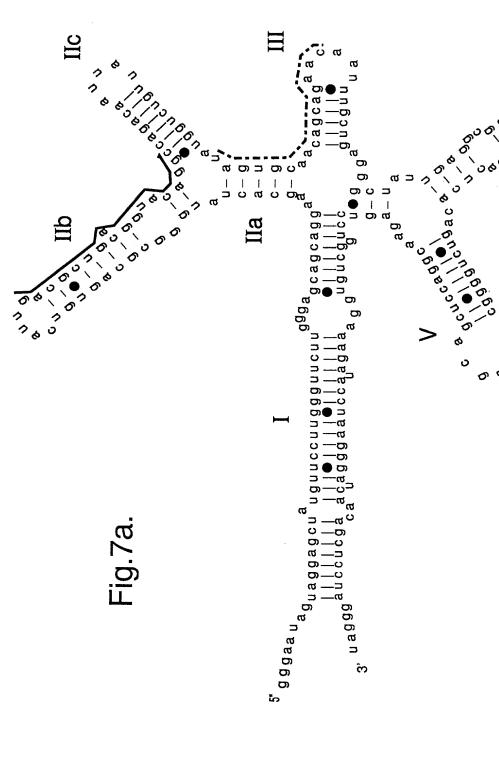


Fig.6.





HIV RRE ELEMENT

Fig.7b.

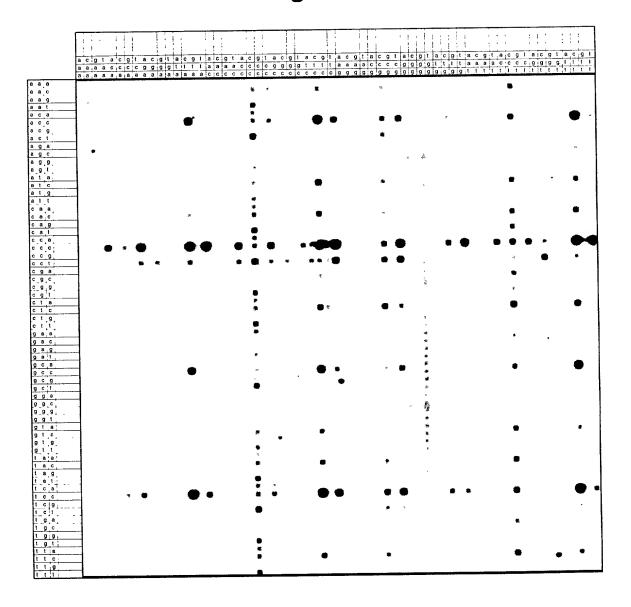


Fig.7c.

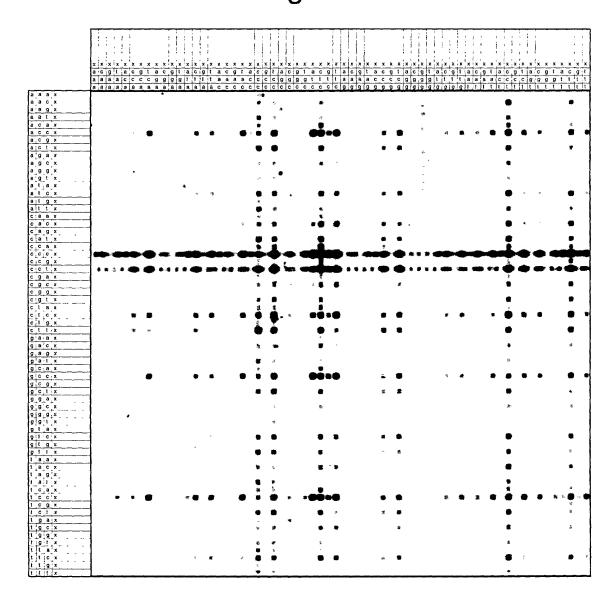


Fig.8a.

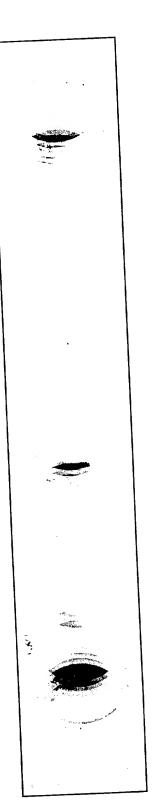


Fig.8b.

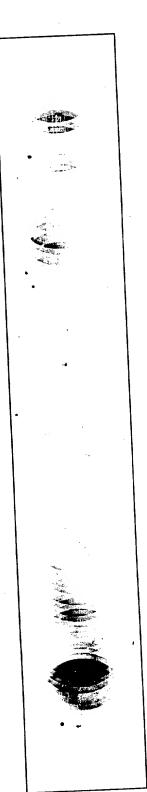


Fig.8c.

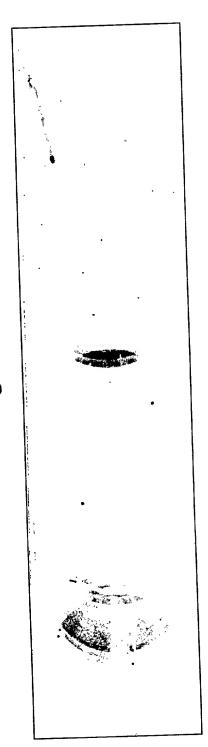


Fig.9a.



Fig.9b.



Fig.10a

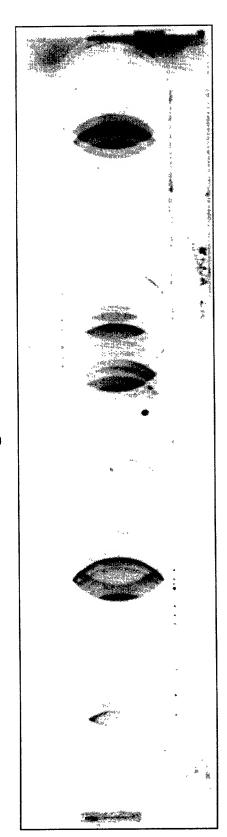


Fig.10b

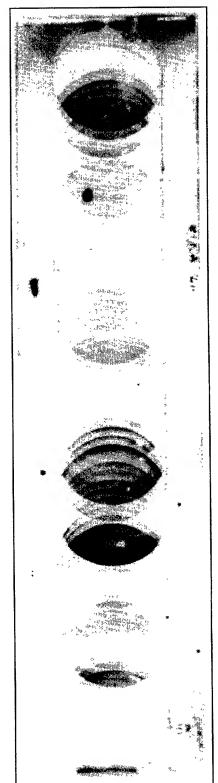
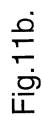
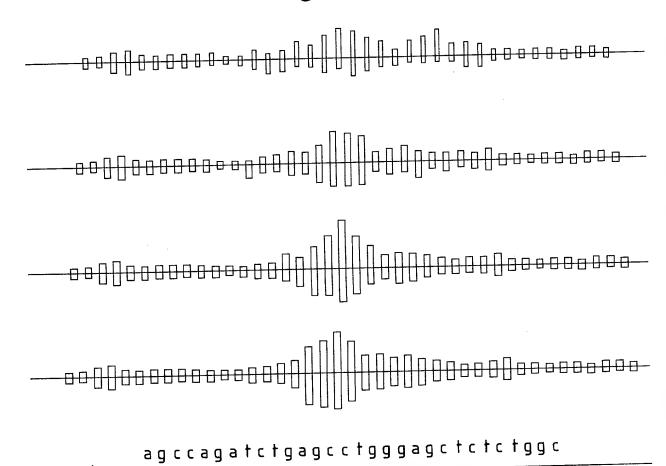


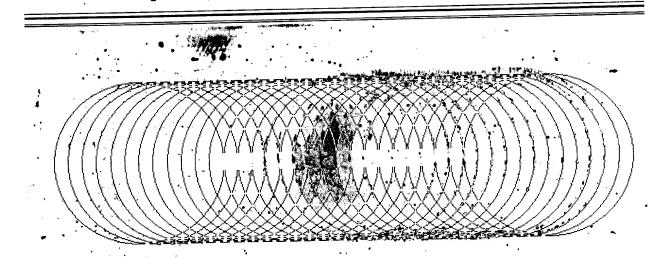
Fig.11a.





# Fig.11c.





120
22
-
T.
1

As a below name? 'nvento stated below next to	that I verily believe that zinal, first and joint invento	r (if plural inventors are named	inventor (if only one		
Title: METHODS FOR DISCOV	ERING LIGANDS				
which is described and claims ( ) the attached specificatio ( ) the specification in the and with amendments the specification in Inter- 1 February 1995	n, or application Serial No. prough mational Application No.	PCT/ GB95/00209	, filed (if applicable).		
I hereby state that I have reve the claims, as amended by any	iowed and understand the amendment(s) referred to	content of the above-identified above.	specification, including		
I acknowledge my duty to di this application in accordance	sclose information of which with Title 37. Code of Fe	th I am aware which is material deral Regulations, \$1.56(a).	to the examination of		
for a Docton) of any foreign as	oplication(s) for patent or ition for patent of inventor	ited States Code, §119 (and §17 inventor's certificate listed below it's certificate having a filing di	and have also locad-		
COUNTRY	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED		
GB	9401833.0	1 February 1994	(X) YES ( ) NO		
			( ) YES ( ) NO		
			( ) YE\$ ( ) NO		
		· · · · · · · · · · · · · · · · · · ·	( ) YES ( ) NO		
			( ) YES ( ) NO		
			( ) YES ( ) NO		
I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:					
SERIAL NO.	U.S. FILING DAT				
		( ) Patented ( ) Pe			
		( ) Patented ( ) Pe			
Reg. No. 24347, Michael R. D. 25408, and Henry M. Zykorie PONACK, jointly and severall Patent and Trademark Office	M. Creedon, Reg. No. 17. Pavis, Reg. No. 25134. Matt. Reg. No. 27477, who to y, attorneys to prosecute the connected therewith.	( ) Patented ( ) Pe 111, John T. Miller, Rog. No. 2 thew M. Jacob, Reg. No. 25154, gether constitute the firm of W. his application and to transact in accept and follow instructions from	1120, John T. Fedigan, leffrey Nolton, Reg. No. ENDEROTH, LIND & all business in the U.S.		
Stevens, Hewlett & Per	rademark Office regarding the event of a change in the p	his application without direct com persons from whom instructions m	munication between the		
Send Correspondence to:		Direct Telephone Calls to:	_		
WENDEROTH, LIN Southern Building - S 805 Fifteenth Street, Washington, D.C. 20	Sulte 700 N.W.	WENDEROTH, LIND Area Code (202) 371-8			

( ) Original ( ) Supplemental ( ) Substitute ( ) PCT ( ) DESIGN

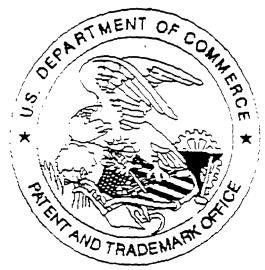
TULL NAME OF	KRIKTUOZ	EDWIX	MELLOR	{
IST INVENTOR		STATE OF CONUSTA	COUNTRY OF CITIZENSHIP	1
ACCIDENCE &	tió, jion	United Kingdom	United: dom	
CITIZENIAIS		CITY	STATE OF COUNTRY	ni cobs
POST OFFICE	ADOLLS	, Lidlington, DXS 2HB, U	nited Kingdom-	
ADDRES		LIFE GIAM HAVE	SECOND GIVEN NAME	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
SALT HAME OF	FAMILY NAMS	Kilik	ULLAH	1
	MIR	LIVE OF COAMEA	COUNTY OF CHILDWING	
	YTIS		United Kingdom	
CITIES MENTS	Bredford	United Kingdom	STATE OF CONMERA	ELS CODE
	ADOLMI	OTTY	-	
HOST OFFICE	2 Grange Oriv	e, Bradford, 8015 72, Uni	ted Kinggom.	-
	PAREY HAND	PIRE STYPH HAME	SECOND ALLES COME	
SOLFACE OF	CASE-GREEN	stephen	CHARLES.	
	CITY	STATE OF COUNTRY	SOUNTRY OF CITE ININIS	
CLUST MERS &	Oxford	United Lingdon	United Kingdom	
		elly.	STAYE OF COUNTRY	HI CODE
POST OFFICE ADDRESS	ADDIMI	a House, 32A Union Street	L. Oxford, DX4 1JP, Unli	ted kingdom.
	1185 52 93347	THE PARTY AND	SPEOND GLASH HTMS	
BUTT NYME OF	PANILY HAME	1001 01001		
WH IXABALOR		MATA CA COUPTEY	COUNTRY OF GITISENEIS	
AMIDENCS &	CITY	Marie Continu		
CITIZENSHIP			STATE OF CONSTRA	NI COM
POST OFFICE ADDRESS	ABBILL	CITY	•	
			SECOND OFVEN HAVE	
	PANGLY NAME	SILIT GIVEN HAVE	SECRETAIN STATES AND IN	
ANTE BUTTE OF	6 1		COUNTRY OF CITIES WHILE	
	COL	STATE OR COUNTRY	COUNTRY OF CHILDREN	
SPIDINGS &				All cop4
O((Manager	ADDALA)	ENV	STATE OR COUNTRY	Fit 6254
POST OFFICE ADDITION	aupiter.			
	PARILY HAME	SINT OLUSH HAM	STORY OLATH ATAIS	
ANT NAME O	TANIET MAN	• • • •		
PAH IKARAI		HATE OR DOUNTRY	COUNTRY OF CITIENSHIP	•
ed lengue	CUA	Aleria ser san san		
		CITY	STATE OR COUNTRY	BIT CODS
ance meste	APDRES	CIIT		
ADDE SIS				

I further declare that all statements made herein of mi	y awa knowledge are true, and that all statements on
I surther declare that all statements made herein of mi information and belief are bolieved to be true; and surther information and belief are bolieved to be true; and further	that there sustaments were made with the trowned
information and belief are bolieved to be true; and further that willful lake statements and the like so made are punish that willful lake statements and the like so made are punish that willful lake states. Once and that such w	able by and or imprisonment, or bour, those section
that will'ut fake statements and the like so made are punish 1001 of Title 18 of the United States Code, and that such w	Alkal jejes stejeuwejs man loobstones are annual
the application or any patent issuing thereon.	
the allementary of mil barren	Date 18.4.91
	45 0 9/
and inventor AD CITH-ULLAN	Dale 23-9-96
and inventor	
Will loss less	Data 18-9-96
Jid Inventor CASE-GREEN, STEPREN CHARLES	Dala
4th Inventor	
410 Tuseinas	Date
8th Inventor	
	Dele
Bih lavsalor	
	alfad as follows:
The above application may be more particularly idea	
Fúla	e Date
U. S. Application series see.	Profes Va
U. S. Appliention Serial No	Profit up 111111
Title of Invention	\$   \$
Title of Invention	************************************
	***************************************

\*\*\*\*\*

V

# United States Patent & Trademark Office Office of Initial Patent Examination -- Scanning Division



There's only 28 mapes of specification